



# Stipulation for Arbitration

## Arbitration Not Identified – Standard Procedures

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### ARBITRATION MATTER BETWEEN

REFERENCE NUMBER \_\_\_\_\_

CLAIMANT \_\_\_\_\_

RESPONDENT \_\_\_\_\_

### STIPULATION FOR ARBITRATION

It is stipulated and agreed by the Parties to submit all disputes, claims or controversies to neutral, binding arbitration at AltCourt, pursuant to the AltCourt Arbitration Administrative Policies and, unless otherwise agreed in writing by the parties, to the applicable AltCourt Arbitration Rules and Procedures. The Parties hereby agree to give up any rights they might possess to have this matter litigated in a court or jury trial.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

NAME (PRINTED) \_\_\_\_\_ FOR \_\_\_\_\_

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SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

NAME (PRINTED) \_\_\_\_\_ FOR \_\_\_\_\_

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SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

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**Completion of this section is required for all consumer or employment claims initiated in California.**

### CONSUMER ARBITRATION

Please indicate if this is a CONSUMER ARBITRATION as defined by California Rules of Court Ethics Standards for Neutral Arbitrators, Standard 2(d) and (e):

- ☐ **YES**, this is a CONSUMER ARBITRATION as defined by California Rules of Court Ethics Standards for Neutral Arbitrators, Standard 2(d) and (e).
- ☐ **NO**, this is **not** a CONSUMER ARBITRATION as defined by California Rules of Court Ethics Standards for Neutral Arbitrators, Standard 2(d) and (e).

**“Consumer arbitration”** means an arbitration conducted under a pre-dispute arbitration provision contained in a contract that meets the criteria listed in paragraphs (1) through (3) below. “Consumer arbitration” excludes arbitration proceedings conducted under or arising out of public or private sector labor-relations laws, regulations, charter provisions, ordinances, statutes, or agreements.

1. The contract is with a consumer party, as defined in these standards;
2. The contract was drafted by or on behalf of the non-consumer party; and
3. The consumer party was required to accept the arbitration provision in the contract.

**“Consumer party”** is a party to an arbitration agreement who, in the context of that arbitration agreement, is any of the following:

1. An individual who seeks or acquires, including by lease, any goods or services primarily for personal, family, or household purposes including, but not limited to, financial services, insurance, and other goods and services as defined in section 1761 of the Civil Code;
2. An individual who is an enrollee, a subscriber, or insured in a health-care service plan within the meaning of section 1345 of the Health and Safety Code or health-care insurance plan within the meaning of section 106 of the Insurance Code;
3. An individual with a medical malpractice claim that is subject to the arbitration agreement; or
4. An employee or an applicant for employment in a dispute arising out of or relating to the employee’s employment or the applicant’s prospective employment that is subject to the arbitration agreement.

**If Respondent disagrees with the assertion of Claimant regarding whether this IS or IS NOT a CONSUMER ARBITRATION, Respondent should communicate this objection in writing to the AltCourt Case Manager and Claimant within seven (7) calendar days of service of the Demand for Arbitration.**



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### EMPLOYMENT MATTERS

If this is an **EMPLOYMENT** matter, Claimant must complete the following information:

Private arbitration companies are required to collect and publish certain information at least quarterly, and make it available to the public in a computer-searchable format. In employment cases, this includes the amount of the employee's annual wage. The employee's name will not appear in the database, but the employer's name will be published. Please check the applicable box below:

☐ Less than \$100,000   ☐ \$100,000 to \$250,000   ☐ More than \$250,000   ☐ Decline to State

### WAIVER OF ARBITRATION FEES

In certain states (e.g. California), the law provides that consumers (as defined above) with a gross monthly income of less than 300% of the federal poverty guidelines are entitled to a waiver of the arbitration fees. In those cases, the respondent must pay 100% of the fees. Consumers must submit a declaration under oath stating the consumer's monthly income and the number of persons living in his or her household. Please contact AltCourt at 1-800-555-5555 for further information. Note: this requirement is not applicable in all states.